

Measuring Tidal Breathing Parameters Using a Volumetric Vest in Neonates With and Without Lung Disease

C. Olden, RSCN, E. Symes, RSCN, and P. Seddon, MB ChB*

Summary. Lung function measurement is difficult in unsedated infants; tidal breathing parameters are a useful non-invasive surrogate, but even these measurements cause disturbance from applying a facemask. We investigated a novel volumetric vest system (FloRight), which measures volume changes of the respiratory system from changes in the magnetic fields induced by current-carrying coils around the entire chest and abdomen. Using a facemask and ultrasonic flowmeter as comparator, we assessed the validity and repeatability of tidal breathing parameters measured by FloRight in 10 healthy newborn infants during natural sleep. We also assessed the effect of a facemask on tidal volume and tidal expiratory flow parameters. To assess the ability of the FloRight system to detect disease, we compared the healthy infants with 11 infants suffering from bronchopulmonary dysplasia. Tidal parameters with the FloRight vest corresponded closely with facemask measurements. Mean difference, mask minus vest, for tidal volume was 0.096 ml ($P < 0.05$), with limits of agreement +4.5 to -4.3 ml. Coefficient of repeatability was similar for mask and vest measurements. Tidal volume measured by FloRight with mask in place (20.6 ml) was significantly higher than without mask (16.1 ml), but tidal expiratory flow parameters were not altered. FloRight measurements of tidal parameters were markedly different between the two groups of infants, with tidal volume per Kg significantly higher and tidal expiratory flow parameters significantly lower. Our findings suggest that the FloRight system is able to measure tidal breathing parameters accurately, in healthy newborn infants, without prior calibration on the infant. It appears to have at least sufficient sensitivity to detect severe respiratory disease. **Pediatr Pulmonol.** 2010; 45:1070–1075. © 2010 Wiley-Liss, Inc.

Key words: infant; respiratory function; tidal breathing; chest wall.

Funding source: none reported.

INTRODUCTION

Assessing lung function in infants is difficult and time-consuming, and in certain situations virtually impossible with current techniques. Methods such as rapid thoracic compression and its raised volume refinement have advanced our understanding of infant lung disease.¹ Because of the need for sedation, however, they are only practicable in stable infants without severe or acute lung disease. The need for sedation and the time taken for measurements also limits their clinical applicability. Measurement of tidal breathing parameters^{2–4} is an attractive alternative, giving an indirect but non-invasive indication of lung function. They can be measured and repeated rapidly, and sedation is not always needed. However, the application of a facemask to capture respiratory airflow is problematic: it often causes disturbance or arousal, and there are suggestions^{3,5,6} that both the trigeminal stimulation and the deadspace of a mask may alter the pattern of tidal breathing.

To avoid these problems, attempts have been made to measure tidal breathing parameters at the chest wall using respiratory inductive plethysmography (RIP).⁷ However, this requires prior calibration with airflow measured at a

facemask if absolute volumes are to be measured,⁸ which negates most of the advantage of the technique. Using uncalibrated RIP has been advocated,⁹ but the accuracy of tidal parameters measured in this way has been questioned.¹⁰ Even when RIP is calibrated before use, changes in band position or in the pattern of breathing can invalidate the calibration. A method of measuring respiratory airflow accurately at the chest wall without mask calibration would be highly desirable.

Additional Supporting Information may be found in the online version of this article.

Respiratory Research Unit, Royal Alexandra Children's Hospital, Brighton BN2 5BE, UK.

*Correspondence to: P. Seddon, MB ChB, Respiratory Care, Royal Alexandra Children's Hospital, Brighton BN2 5BE, UK.
E-mail: paul.seddon@bsuh.nhs.uk

Received 26 August 2009; Revised 6 January 2010; Accepted 16 February 2010.

DOI 10.1002/ppul.21272

Published online 24 September 2010 in Wiley Online Library (wileyonlinelibrary.com).

The FloRight system (Volusense, Kongsvinger, Oslo, Norway) consists of two continuous wire coils sewn into a vest worn over the entire chest and abdomen, the upper coil covering the chest, the lower the abdomen. A weak (100 mA) alternating current is passed through the coils, resulting in a magnetic field around the child's torso which is detected by an external magnetic field sensor. With breathing movements, the volume contained within the coils expands and contracts, causing proportional changes in the magnetic field that is generated. The device can therefore directly measure changes in the volume of the respiratory system, and these volume changes can be differentiated digitally to yield a flow signal and hence measure tidal breathing parameters.

Basic laboratory studies (Unpublished observations, Volusense) have suggested that the measurement of volume change is accurate and requires only electrical calibration with a cylindrical volume reference coil. The primary aim of this study was to validate the measurement system in healthy newborn infants—that is, to demonstrate that it gives accurate measurements of tidal breathing parameters without needing calibration on the infant against airflow measured from a mask at the airway opening. Our primary hypothesis is that in spontaneously breathing healthy term newborns, the FloRight vest gives estimates of tidal volume which are similar to estimates measured from flow at the airway opening. Secondary aims were to quantify and compare the repeatability of tidal breathing measurements using the two methods—chest wall (vest) and airway opening (mask) measurements—and to compare tidal breathing parameters (measured at the chest wall) with and without a mask in place. A further aim was to assess whether it was possible, using tidal parameters measured with the system, to distinguish between healthy newborn infants and infants with bronchopulmonary dysplasia (BPD).

PATIENTS AND METHODS

The study protocol was approved by the East Sussex Research Ethics Committee and all parents gave written informed consent. In the first part of the study (validation), healthy newborn infants were studied simultaneously using the FloRight system and a system measuring airflow via a mask using an ultrasonic flowmeter (Exhalyzer D, EcoMedics AG, Duernten, Switzerland). Ten infants under a week of age and over 37 weeks gestation, without a history of respiratory symptoms or congenital abnormalities were studied on the postnatal ward of the Royal Sussex County Hospital, Brighton, UK.

Firstly, a FloRight vest of suitable size—fitting snugly but without constriction—was put on over a single thin layer of clothing. The correct size of vest was gauged by

measuring the chest circumference at nipple level, and the vest was placed covering the infant from armpit to groin. In this position the junction between the upper and lower coils of the vest is approximately at the lower border of the ribcage. The FloRight system was calibrated with the magnetic field detector in place and the volume reference cylinder at the side of the infant. For measurement the magnetic field detector was positioned so that the vest was aligned with the two fins and equidistant between them, with the baby lying on a surface 40 cm below the fins. The EcoMedics system was calibrated with a 100 ml Hans Rudolph volumetric syringe (Hans Rudolph, Inc., Shawnee, Kansas, US). Once the baby was in quiet natural sleep, a firm face mask with inflatable cushion rim was placed over the baby's nose and mouth, then collection of 2 min of tidal breathing data was commenced simultaneously with the two systems. These recordings will be designated V1 (FloRight vest) and M1 (EcoMedics mask). The mask was then removed, and a 2-min epoch of tidal breathing was recorded using FloRight alone, with no mask in place—designated V1A. Finally, a further 2-min epoch was recorded using both systems for repeatability measurements—designated V2 and M2. Figure 1 shows the mask and vest in place simultaneously during data collection. The data sampling frequency was 200 Hz for the EcoMedics mask recording and 100 Hz for the FloRight vest system.

For calculation of the tidal breathing parameters, the traces were first inspected visually to select a 10-breath segment of stable tidal breathing with the following characteristics: no obvious artefacts, no sighs, no obvious changes in depth of breathing or baseline. Simultaneous 10-breath segments from M1/V1, M2/V2, and from V1A were then analyzed in detail to calculate the following parameters, measured breath-by-breath then averaged over the 10-breath segment:



Fig. 1. Study setup, showing both FloRight vest and mask with ultrasonic flowmeter in place.

1. Tidal volume (V_T) in ml, and per Kg body weight (V_T/Kg).
2. Time to peak tidal expiratory flow as a ratio of expiratory time (T_{PTEF}/T_E).

For the vest recordings only, the following additional tidal parameters were calculated from the traces:

3. Ratio of expiratory time to inspiratory time (T_E/T_I).
4. Expiratory flow at 50% of tidal volume as a ratio of peak tidal expiratory flow ($TEF_{50}/PTEF$).²
5. Expiratory flow at 25% of tidal volume as a ratio of peak tidal expiratory flow ($TEF_{25}/PTEF$).²
6. Center of gravity along the volume axis for the tidal expiratory flow-volume curve (FVg). This is the dimensionless value along the volume axis (taking 0 as the start of expiration and 1 as the end of expiration) such that the areas under the curves to right and left of this point are equal. A symmetrical curve with peak flow occurring at mid-tidal volume would have $FVg=0.5$; any shift of peak tidal expiratory flow towards the start of inspiration will give values below 0.5.

The parameters were compared, using paired *t*-test and Bland–Altman analysis, between pairs of recordings as follows:

M1 and V1 were compared to assess the validity of the FloRight vest measurements.

M1 was compared with M2, and V1 with V2, to assess repeatability of both the mask and vest measurements. V1 was compared with V1A to assess the effect of a facemask on tidal breathing parameters.

For the second part of the study, 11 oxygen-dependent infants with bronchopulmonary dysplasia (BPD, defined as persistent supplemental oxygen need beyond 36 weeks gestation, following neonatal respiratory failure) were measured, using the same protocol as above as far as possible. Mask measurements were not possible because

TABLE 1—Characteristics of Infants Studied, Showing Mean (SD) values

	Healthy	Bronchopulmonary dysplasia
Number of infants	10	11
Weight (kg)	3.7 (0.4)	4.0 (1.6)
Weight Z (SD) score	+0.13 (0.83)	−2.59 (1.11)
Length (cm)	52 (2.39)	52 (7.6)
Length SD Z (SD) score	+0.09 (0.82)	−2.17 (1.38)
Birth gestation (weeks)	40 (1.3)	29 (4.6)
Age at test (days)	1.4 (0.5)	146 (71)

Z scores for weight and length are calculated as the number of SD above or below the predicted mean from growth charts; for the BPD infants, age is corrected for prematurity.

of the nasal cannula oxygen (the oxygen flow caused a large baseline drift), so only V1 and V2 were recorded. The coefficient of repeatability of the vest measurements of tidal breathing parameters was calculated. In addition, the tidal measurements were compared with the 10 healthy term infants using two-sample *t*-tests.

The characteristics of the infants studied are summarized in Table 1. Although the weight and length of the two groups is similar, the BPD infants, as would be expected, were short and light for age even when corrected for prematurity.

RESULTS

Validity

Figure 2 shows Bland–Altman plots of M1 versus V1 for V_T and for T_{PTEF}/T_E , with mean difference and limits of agreement shown. There was no significant difference in either parameter, and there was close correspondence between the paired measurements as shown by the small mean differences (Mask minus Vest $V_T + 0.096$ ml, $T_{PTEF}/T_E - 0.01$) and narrow limits of agreement ($V_T + 4.5$ ml to -4.3 ml, $T_{PTEF}/T_E + 0.32$ to -0.35).

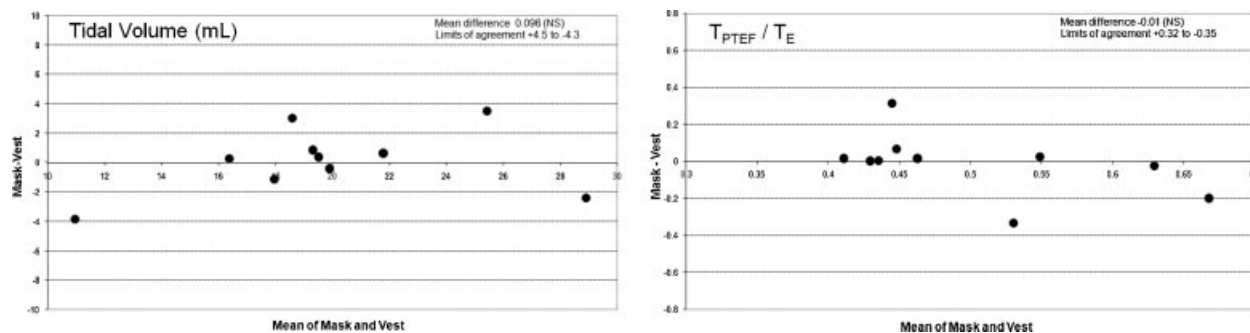


Fig. 2. Bland–Altman plots comparing tidal parameters measured simultaneously with mask (ultrasonic flowmeter system) versus vest (FloRight system); tidal volume on left-hand plot, T_{PTEF}/T_E on right-hand plot.

TABLE 2—Tidal Breathing Parameters, Showing Mean Values, Repeatability Coefficient (CR) and CR as Percent of Mean Value (CR%), for Mask and Vest Measurements in Healthy Infants, and for Mask Measurements in BPD Infants

	Healthy infants				BPD infants, vest	
	Mask		Vest		Mean value (SD)	CR (%)
	Mean value (SD)	CR (%)	Mean value (SD)	CR (%)		
V _T (ml)	20.66 (5.35)	7.2 (37%)	20.56 (4.10)	8.4 (41%)	26.84 (5.05)	6.6 (23%)
V _T (ml/Kg)	5.43 (1.53)	2.0 (37%)	5.40 (1.23)	2.2 (41%)	7.01 (1.32)	1.83 (23%)
T _{PTEF} /T _E	0.50 (0.09)	0.20 (41%)	0.51 (0.14)	0.18 (37%)	0.217 (0.094)	0.14 (65%)
T _E /T _I	1.07 (0.21)	0.43 (38%)	1.04 (0.18)	0.47 (45%)	1.30 (0.42)	0.89 (67%)
TEF ₅₀ /PTEF			0.920 (0.068)	13.3 (14%)	0.744 (0.125)	16.1 (22%)
TEF ₂₅ /PTEF			0.787 (0.116)	23.1 (30%)	0.457 (0.148)	15.5 (34%)
FVg			0.501 (0.028)	0.02 (8%)	0.430 (0.037)	0.04 (10%)

Repeatability

Repeatability was expressed as the coefficient of repeatability (CR), defined as twice the standard deviation of the differences between measurements.¹¹ We have also expressed it as a percentage of the mean value (CR%). Table 2 shows CR and CR% for: M1 versus M2 in healthy infants, V1 versus V2 in healthy infants, and V1 versus V2 in BPD infants.

Effect of Facemask

Table 3 shows mean difference, 95% confidence intervals of mean difference, and limits of agreement for the tidal parameters, comparing V1 with V1A in the healthy infants. Tidal volume is significantly higher with the mask in place, as would be expected because of the additional deadspace. However, there was no significant difference in any of the tidal expiratory flow parameters.

Changes With Disease

Figure 3 shows typical flow-time and flow-volume traces recorded by the FloRight device in a healthy infant and a BPD infant: a clear difference can be seen in the pattern of expiratory flow (“sinusoidal” versus “quadrilateral” as originally described by Morris and Lane¹²). Table 4 shows mean difference and 95% confidence intervals of mean difference, comparing V1 in healthy infants with V1 in BPD infants. There were

significant differences in tidal volume (corrected for body weight) and all tidal expiratory flow parameters. V_T per Kg was significantly higher in BPD infants (as expected due to increased physiological deadspace), while all the tidal expiratory flow parameters were significantly lower. Ratio of inspiratory to expiratory time (T_I/T_E) showed only a non-significant trend to be lower.

DISCUSSION

We have demonstrated that a novel volumetric vest system is able to measure both tidal volume and tidal expiratory flow parameters in unsedated infants, without prior calibration on the infant, and to yield values in healthy infants which are very close to those measured directly from airflow at the airway opening. The measurements using the vest are as repeatable as those measured at the airway opening. Furthermore, we have shown that tidal measurements made using this system are able to differentiate infants with bronchopulmonary dysplasia from healthy term infants.

Previous work using RIP has yielded conflicting results. Stick et al.,⁹ using uncalibrated RIP, showed close agreement between T_{PTEF}/T_E values from RIP with those from mask and pneumotachograph in unsedated healthy newborn infants. However, Jackson et al.,¹⁰ using a different uncalibrated RIP system in unsedated newborns and sedated older infants were unable to replicate this. In their study, RIP-measured T_{PTEF}/T_E

TABLE 3—Tidal Breathing Parameters in Healthy Newborn Infants Measured by Volumetric Vest, Comparing Values With and Without Face Mask in Place

	With mask mean (SD)	Without mask mean (SD)	Mean difference (95% confidence interval)
Tidal volume (ml)	20.56 (4.10)	16.096 (3.79)	4.67* (+1.64 to +7.29)
T _{PTEF} /T _E	0.51 (0.14)	0.53 (0.15)	0.01 (−0.09 to +0.06)
TEF ₅₀ /PTEF	0.918 (0.043)	0.849 (0.095)	0.069 (−0.010 to +0.148)
TEF ₂₅ /PTEF	0.776 (0.102)	0.772 (0.137)	0.004 (−0.038 to +0.047)
FVg	0.498 (0.020)	0.502 (0.028)	−0.005 (−0.02 to +0.01)

*P < 0.05.

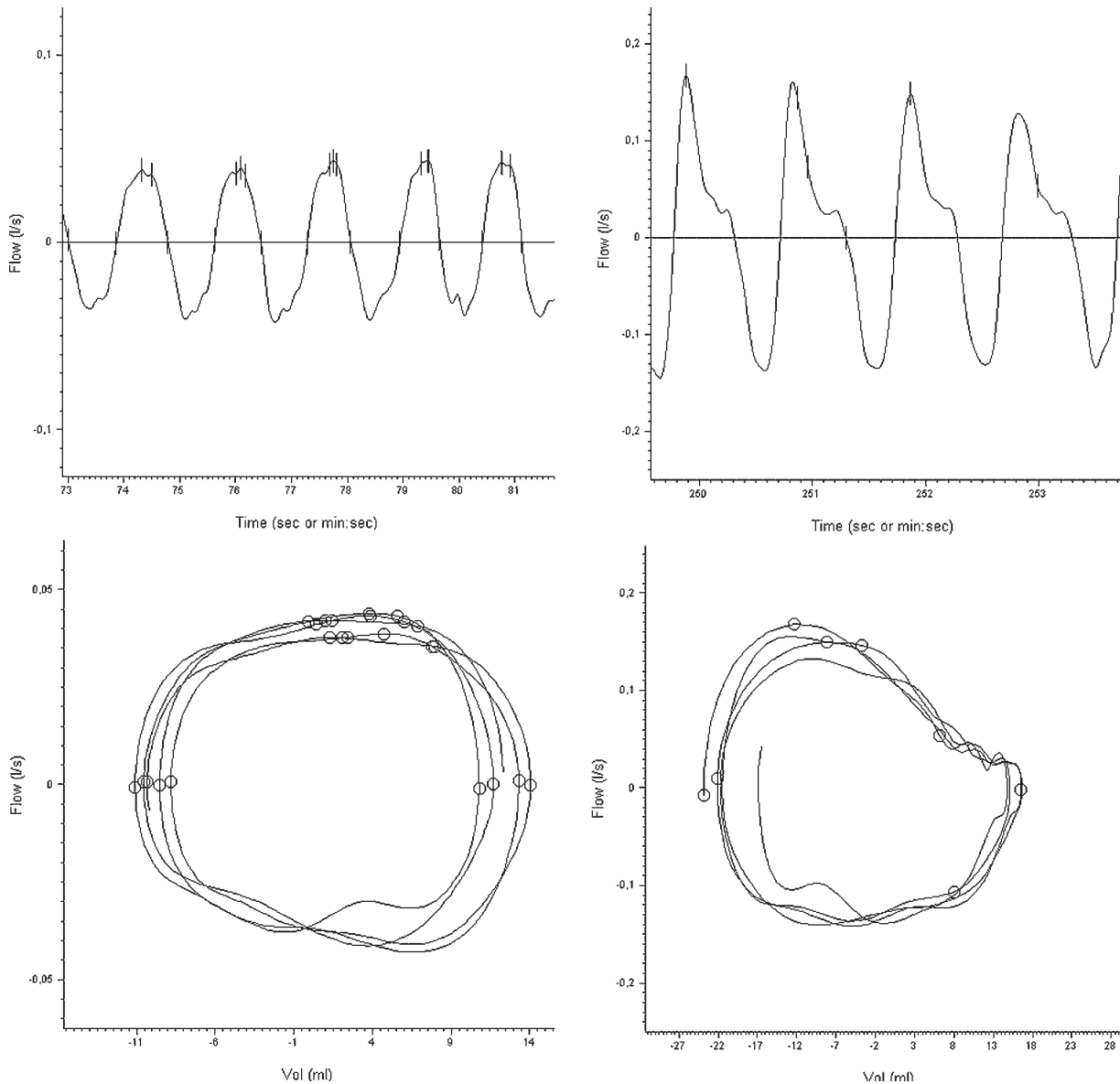


Fig. 3. Sample flow-time (upper plots) and flow-volume (lower plots) for two infants: healthy newborn infant on the left, infant with bronchopulmonary dysplasia on the right.

TABLE 4—Tidal Breathing Parameters Measured by Volumetric Vest in Healthy and BPD Infants, Showing Mean (SD) Values and P-Value for Comparison (Two-Sample t-test)

	Normal (n = 10)	BPD (n = 11)	P-Value
V_T/Kg (ml/Kg)	5.40 (1.23)	7.01 (1.32)	0.009
T_{PTEF}/T_E	0.506 (0.150)	0.217 (0.094)	0.00004
T_E/T_I	1.04 (0.18)	1.30 (0.42)	0.08
$TEF_{50}/PTEF$	0.920 (0.068)	0.744 (0.125)	0.009
$TEF_{25}/PTEF$	0.787 (0.116)	0.457 (0.148)	0.00002
FVg	0.501 (0.028)	0.430 (0.037)	0.00009

was consistently lower, with wide limits of agreement. Neither study was designed to measure tidal volume.

Our values for tidal parameters in the healthy infants, measured both by mask and by vest, are very similar to published values in healthy term neonates measured by mask.^{13–15} This, as well as our finding that tidal expiratory flow parameters (unlike tidal volume) were not altered by the presence of a mask, suggests that existing normative values are appropriate in interpreting measurements made using this vest system. Our figures for repeatability of T_{PTEF}/T_E , both with mask (CR 41%) and vest (CR 37%), are also similar to published values,¹³ and demonstrate how variable this parameter is in newborn infants. This is a significant limitation of T_{PTEF}/T_E , however measured, and

suggests that the parameters with better repeatability such as FVg may be worth exploring.

Clearly caution is needed in applying our conclusions on the validity of the system. We were not able to make mask measurements in our BPD infants because of the administration of nasal cannula oxygen, and therefore were not able to show whether the Floright system makes valid measurements in the presence of chest distortion. All our measurements were made during spontaneous tidal breathing in infants lying supine, so that we cannot comment on the validity of measurements in prone or side-lying infants, in older children measured in a sitting position, or in artificially ventilated infants. All our healthy neonates were above 2 kg weight: it is not yet clear whether the system will be accurate in small preterm infants.

At the beginning of the study we encountered difficulty in calibrating the equipment in an electrically “noisy” environment (e.g., near television screens): a software change was required to resolve this problem. Interference from nearby devices producing a high frequency changing magnetic field may still cause problems, in some settings, but would be likely to produce a “noisy” trace, rather than inaccurate measurements. The equipment is currently large and cumbersome, making its use in acute or intensive care settings difficult: we understand that design changes to modify this problem are planned.

Despite these caveats, our findings suggest that this is an accurate technique for measuring tidal breathing without the need for a face mask. This technique has the potential to measure tidal flow parameters in situations where a mask is not tolerated (e.g., tachypnoea, awake infants) or mask measurements are problematic (e.g., oxygen therapy, continuous positive airway pressure, non-invasive ventilation). The volume changes can also be partitioned into chest and abdominal components, giving the potential to measure thoracoabdominal asynchrony in the context of acute respiratory disease or sleep-disordered breathing. Further work is needed to validate the technique in these settings.

ACKNOWLEDGMENTS

C. Olden and E. Symes receive salary support from the Research for Patient Benefit scheme of the National Institute for Health Research, UK. The authors gratefully acknowledge technical assistance from Roy Norum of

OKB Medical Ltd, UK, and from Kjell Øygarden and Morten Eriksen of Volusense, Norway.

REFERENCES

1. Gappa M, Ranganathan SC, Stocks J. Lung function testing in infants with cystic fibrosis: lessons from the past and future directions. *Pediatr Pulmonol* 2001;32:228–245.
2. Lodrup Carlsen KC, Magnus P, Carlsen K-H. Lung function by tidal breathing in awake healthy newborn infants. *Eur Respir J* 1994;7:1660–1668.
3. Bates JHT, Schmalisch G, Filbrun D, Stocks J. Tidal breath analysis for infant pulmonary function testing. *Eur Respir J* 2000; 16:1180–1192.
4. Seddon PC, Davis GM, Coates AL. Do tidal expiratory flow patterns reflect lung mechanics in infants? *Am J Resp Crit Care Med* 1996;153:1248–1252.
5. Fleming PJ, Levine MR, Goncalves A. Changes in respiratory pattern resulting from the use of a facemask to record respiration in newborn infants. *Pediatr Res* 1982;16:1031–1034.
6. Dolfin T, Duffy P, Wilkes D, England S, Bryan H. Effects of a face mask and pneumotachograph on breathing in sleeping infants. *Am Rev Respir Dis* 1983;128:977–979.
7. Adams JA. Respiratory inductive plethysmography. In: Stocks J, Sly PD, Tepper RS, Morgan WJ, editors. *Infant respiratory function testing*. New York: Wiley-Liss; 1996. pp. 139–164.
8. Sackner MA, Watson H, Belsito AS, Feinerman D, Suarez M, Gonzalez G, Bizousky F, Krieger B. Calibration of respiratory inductive plethysmography during natural breathing. *J Appl Physiol* 1989;66:410–420.
9. Stick SM, Ellis E, LeSouëf PN, Sly PD. Validation of respiratory inductance plethysmography (“Respirtrace”) for the measurement of tidal breathing parameters in newborns. *Pediatr Pulmonol* 1992;14:187–191.
10. Jackson E, Stocks J, Pilgrim L, Dundas I, Dezateux C. A critical assessment of uncalibrated respiratory inductance plethysmography (Respirtrace) for the measurement of tidal breathing parameters in newborns and infants. *Pediatr Pulmonol* 1995; 20:119–124.
11. Bland JM, Altman DG. Statistical methods for assessing agreement between two methods of clinical measurement. *Lancet* 1986;i:307–310.
12. Morris MJ, Lane DJ. Tidal expiratory flow patterns in airflow obstruction. *Thorax* 1981;36:135–142.
13. Stocks J, Dezateux CA, Jackson EA, Hoo A-F, Costeloe KL, Wade AM. Analysis of tidal breathing parameters in infancy: how variable is $T_{PTEF}:T_E$? *Am J Resp Crit Care Med* 1994;150:1347–1354.
14. Lodrup Carlsen KC, Carlsen K-H. Lung function in awake healthy infants: the first five days of life. *Eur Respir J* 1993;6: 1496–1500.
15. Stick SM. Measurements during tidal breathing. In: Stocks J, Sly PD, Tepper RS, Morgan WJ, editors. *Infant: respiratory function testing*. New York: Wiley-Liss; 1996. pp. 117–138.